PART 4 - OFFICER SCHEME OF DELEGATION
(SECTION 2 – CHIEF EXECUTIVE & DIRECTORS)
Part 4 is set out in four sections as follows:

Section 1: General Principles
Section 2: Chief Executive and Directors
Section 3: Group Heads
Section 4: Functions

Introductory Note:

All functions that are not specifically reserved by law or under this Constitution to Council, a Committee, the Cabinet, a Cabinet Member, or Officers, are delegated to the Cabinet.
Contents
1.0  CHIEF EXECUTIVE, DIRECTORS AND GROUP HEADS ......................... 4
2.0  CHIEF EXECUTIVE ............................................................................. 5
3.0  DIRECTOR OF SERVICES ................................................................. 10
4.0  DIRECTOR OF PLACE......................................................................... 13
1.0 CHIEF EXECUTIVE, DIRECTORS AND GROUP HEADS

1.1 With the exception of Proper Officer powers and those powers delegated to:

a) the Council Advice Group Head & Monitoring Officer when acting as Monitoring Officer

b) the Group Head of Corporate Support when acting as Section 151 Officer

the Chief Executive, Directors and Group Heads have the power to take all lawful action consistent with overall Council policy to deliver agreed strategy, plans and policy, and to comply with and undertake all statutory obligations, duties, functions and powers within his or her area of responsibility and within approved budget.

1.2 Further to the above provisions, and those set out at Section 1 of this Part of the Constitution, the Chief Executive, Directors and Group Heads may allocate or delegate responsibility for exercising particular powers to any officer of the Council as he or she thinks fit. All such delegations are to be recorded in writing by the delegating officer and retained for the duration of the delegation which shall not exceed a specified period exceeding six months and shall set out the description of the powers to be exercised by the officer of the Council and state the post held by the officer, in accordance with the Local Government Act 2000 (Constitutions) (England) Direction 2000.

1.3 For the purposes of the above provisions, the statutory obligations, duties, etc. referred to shall include, but not exhaustively, those contained within the legislation set out in a matrix of statutes held by the delegating officer in respect of their service area, a copy of which shall be provided to the Monitoring Officer together with all amendments.

1.4 The Monitoring Officer will maintain a central record of all delegations received from the delegating officers as are established under Paragraph 1.2 of this Part of the Constitution and will make them available for public inspection pursuant to section 100G of the Local Government Act 1972.
2.0 CHIEF EXECUTIVE

The Chief Executive is responsible for Corporate Support including:

- **Corporate Support Group** consisting of:
  - Finance
  - Audit
  - Payroll
  - Procurement
  - s151 Officer/Accountancy
  - Human Resources
  - ICT and the digital agenda
  - Legal Services

- **Council Advice Group & Monitoring Officer** consisting of:
  - Information Management
    - Customers of Concern
    - Data Protection
    - FOI
    - Official Complaints
  - Legal Advice to the Council
  - Monitoring Officer
  - Standards
  - Committee Services

- **Policy Group** consisting of:
  - Communications/web management/marketing
  - Corporate Performance
  - Corporate Policy
  - Design
  - Electoral Services
  - Local Partner Liaison
  - National Partnerships (LGA, DCN, CWS, CtC)
  - Postal Services
  - Print
  - Scrutiny Support
  - Transformation

In addition to those delegated powers in Part 4 Section 2 Paragraphs 1.0 and 2.0 of this Constitution, the Chief Executive has the following delegated powers:
### Proper Officer Powers

2.1 The Chief Executive has the following Proper Officer powers as laid out below:

<table>
<thead>
<tr>
<th>Legislation</th>
<th>Purpose</th>
</tr>
</thead>
<tbody>
<tr>
<td>Electoral Administration Act 2006</td>
<td>Updated local government election rules</td>
</tr>
<tr>
<td>Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012</td>
<td>Access to Information</td>
</tr>
<tr>
<td>Local Authorities (Referendums) (Petitions) (England) Regulations 2011</td>
<td>Petitions and Referendums</td>
</tr>
<tr>
<td>Local Government Act 1972:</td>
<td></td>
</tr>
<tr>
<td>• S. 13(3)</td>
<td>Parish Trustee (In a parish not having a separate parish council)</td>
</tr>
<tr>
<td>• S. 83(1)</td>
<td>Declarations of acceptance of office</td>
</tr>
<tr>
<td>• S. 84</td>
<td>Resignation of a member from office</td>
</tr>
<tr>
<td>• S. 88(2)</td>
<td>Convening of Council meeting for filling Chairman casual vacancy</td>
</tr>
<tr>
<td>• S. 89(1)(b)</td>
<td>Notice of casual councillor vacancy</td>
</tr>
<tr>
<td>• S. 100A – 100K</td>
<td>Access to Information</td>
</tr>
<tr>
<td>• S. 225(1)</td>
<td>Deposit of documents</td>
</tr>
<tr>
<td>• S.s 234(1) and (2)</td>
<td>Authentication of documents</td>
</tr>
<tr>
<td>• Schedule 12 – para 4(2)(b)</td>
<td>Signing of Council summons to attend a meeting</td>
</tr>
<tr>
<td>• Schedule 14 – para 25(7)</td>
<td>Certification of resolutions passed by the Council</td>
</tr>
<tr>
<td>Local Government and Housing Act 1989 S. 4</td>
<td>Head of Paid Service</td>
</tr>
<tr>
<td>Neighbourhood Planning (Referendums) Regulations 2012</td>
<td>Counting Officer</td>
</tr>
<tr>
<td>Representation of People Act 1983:</td>
<td></td>
</tr>
<tr>
<td>• S. 8</td>
<td>Registration of Parliamentary and Local Government Electors – Electoral Registration Officer</td>
</tr>
<tr>
<td>• S. 28</td>
<td>Conduct of Parliamentary Elections – Discharge of Returning Officer’s functions</td>
</tr>
<tr>
<td>• S. 35</td>
<td>Returning Officer</td>
</tr>
<tr>
<td>Police Reform and Social Responsibility Act 2011</td>
<td>Police and Crime Commissioner Elections</td>
</tr>
</tbody>
</table>

2.2 To confirm the appointment of members to the Independent Remuneration Panel, in consultation and agreement with Group Leaders and the Chairman of the Audit & Governance Committee.

2.3 Should the Chief Executive consider that the appointment of any Independent Person on the Standards Committee or of any member on the Independent Remuneration Panel should be changed or terminated, the Chief Executive will consult with the Group Leaders and Chairman of the Audit & Governance Committee.
Committee who will make their recommendations to Full Council on whether any such appointment should be changed or terminated.

2.4 To keep under review polling districts and polling places under the District (taking into consideration any recommended proposals for Polling Stations by the Electoral Review Sub-Committee) and to make such urgent changes to polling stations for use at any local and national election as is necessary to ensure that the election process is not delayed.

2.5 **Complaints Procedure** – To award compensation up to £5000 where appropriate, in the event that an investigation finds in the complainant's favour (along with the Council Advice Group Head and Monitoring Officer).

2.6 **Director/Group Head Annual Appraisal** – Having conducted an Annual Appraisal for a Director or Group Head, to decide whether or not to agree a progression up the existing pay scale for the post. Any appeal by the member of staff is to the Staff Appeals Panel.

2.7 **Special responsibility one-off payments to Members** – On the advice of the Group Head of Corporate Support authorise special-responsibility one-off payments to Members, on an outline basis of £50 per half day for one-off intensive projects

2.8 **Appointment of deputy Electoral Registration Officers** – To appoint deputy Electoral Registration Officers.

2.9 **Approval of the Local Election Fees and Charges Scheme** – To approve the Local Election Fees and Charges Scheme recommended by the Returning Officer for West Sussex County Council.

2.10 Enter into partnerships and related memoranda of understanding in consultation with the relevant Cabinet Member, with details being retrospectively reported to Cabinet at the earliest opportunity.

2.11 Incur expenditure for meeting the purposes of Local Government Act 1972 – S. 138 – in connection with peacetime emergencies and disasters, subject to reporting to the first subsequent meeting of Cabinet.

2.12 Exercise the powers contained in Part 1 of the Local Government Act 2000 (promotion of economic, social or environmental well-being etc.).

2.13 **Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012**

The Chief Executive, as Proper Officer, or his nominated deputy shall:

- be authorised to include in all agendas for meetings of the Council, its Committees and the Cabinet, where necessary or appropriate, a motion to exclude the press and public where either confidential and/or exempt information is to be discussed within the terms of the Act or the Regulations.

- be authorised to prepare lists of information as required by the Local Government (Access to Information) Act 1985 and the Regulations.

- be authorised to determine the fees to be charged for the inspection and copying of documents under S. 100(H) of the Local Government Act 1972 and Regulation 22 of the Regulations (the Council Advice Group Head and Monitoring Officer or his/her nominated officer also has this power)

- Designate Council officers to be responsible for the management of investigations and grant authorisations and to act as Senior Responsible Officer in accordance with Codes of Practice issued by the Home Office from time to time.

2.15 Authorise officers to carry out covert surveillance and/or obtain communications data under the Regulation of Investigatory Powers Act 2000 (RIPA), following the Council’s Corporate Policy and Procedure on RIPA, AND after approval has been obtained from a Justice of the Peace before commencement.

2.16 To institute any criminal or civil legal proceedings against persons occupying without licence or consent, land or buildings owned by the Council or in respect of which the Council is entitled to possession, in consultation with one of the Council’s Solicitors, unless West Sussex County Council are exercising this power in accordance with the terms of any delegation arrangements with the Council.


2.18 Approve virements of expenditure within budget up to the financial limits listed in Part 6 Section 5 of the Constitution.

2.19 Approve supplementary estimates outside of budget up to the financial limits listed in Part 6 Section 5 of the Constitution.

2.20 Approve the drawing down of funds based on the process and financial limits listed in Part 6 Section 5 of the Constitution.

2.21 Approve the award of grants to organisations, including discretionary rate relief, up to the financial limits listed in Part 6 Section 5 of the Constitution.

2.22 Where delay in obtaining instructions of the appropriate Member or Member body would in his/her opinion prejudice the Council's position, the Chief Executive may authorise the institution or defending or settlement or general conduct of proceedings in the Courts or settlement of any dispute not the subject of court action, to safeguard the Council's interest. The Chief Executive will in such circumstances, endeavour to brief the Leader of the Council & other Group Leaders in advance of the Chief Executive exercising such delegation, but will in all cases inform them & subsequently the Council, of the action taken. In the absence of the Chief Executive this authority is delegated to the Directors.

2.23 **Urgent Decisions**

To make a decision in the following circumstances, in consultation with the Group Head of Council Advice & Monitoring Officer:

- where a decision usually made by an Individual Cabinet Member would be delayed solely by reason of the decision having to be made during the period from the fourth day after the date of a regular local election and the Annual meeting of the Full Council; and

- in the opinion of the Chief Executive the decision should be made as a matter of urgency.

Subject to time allowing, the Chief Executive will, before making his decision, consult with the Member nominated with responsibility for the function in question by the person designated by the majority group as leader, or, if no
nomination has been made, the last appointed Cabinet Member for that function, if that member has been re-elected.

2.24 Closure Notices

Pursuant to the Anti-Social Behaviour, Crime and Policing Act 2014 power to issue closure notices for up to a maximum period of 48 hours and the power to delegate authority to other officers within the authority for the purpose of issuing closure notices for up to a maximum period of 48 hours. In the absence of the Chief Executive this authority is delegated to the Director Services.

2.25 To make consequential amendments to the Officer Scheme of Delegation, to reflect the re-designation of posts and the re-allocation of functions which affect the terms of the Scheme.
3.0 DIRECTOR OF SERVICES

The Director of Services is responsible for:

- **Community Wellbeing Group** consisting of:
  - Activities for the Elderly
  - Community Safety (including ASB Team)
  - Foreshores
  - Leisure & Culture
  - Safeguarding
  - Telecare (Lifeline)
  - Think Family
  - Wellbeing
  - Youth Council

- **Neighbourhood Services Group** consisting of:
  - Car Parking
  - Cemeteries
  - Cleansing
  - Customer Services (including Arun Direct)
  - Emergency Planning
  - Parks:
    - landscape architecture
    - multi-skilled team & tree gang
    - planning advice & tree preservation orders

- **Residential Services Group** consisting of:
  - Benefits
  - Homelessness
  - Housing and Housing Revenue Account
  - Strategy & enabling
  - Registered Providers & Social Landlords
  - Revenues

**Proper Officer Responsibilities**

3.1 The Director of Services or his nominated officer has the following Proper Officer responsibilities as laid out in the table below:

<table>
<thead>
<tr>
<th>Local Government Act 1972</th>
<th>Purpose</th>
</tr>
</thead>
<tbody>
<tr>
<td>•S.s 234(1) and (2)</td>
<td>Authentication of documents</td>
</tr>
<tr>
<td>•Schedule14 para 25(7)</td>
<td>Certification of resolutions passed by the Council</td>
</tr>
</tbody>
</table>

3.2 **Deputy Returning Officer** – to carry out the duties and responsibilities of Deputy Returning Officer with full powers as defined in relevant legislation.
3.3 **Council Tax and National Non-Domestic Rates** (from 1 April 2018) – to write-off irrecoverable amounts up to £750 subject to a subsequent report to the Cabinet Member for Residential Services of totals written off.

3.4 Approve virements of expenditure within budget up to the financial limits listed in Part 6 Section 5 of the Constitution.

3.5 Approve supplementary estimates outside of budget up to the financial limits listed in Part 6 Section 5 of the Constitution.

3.6 Approve the drawing down of funds based on the process and financial limits listed in Part 6 Section 5 of the Constitution.

3.7 Approve the award of grants to organisations, including discretionary rate relief, up to the financial limits listed in Part 6 Section 5 of the Constitution.

3.8 Enter into partnerships and related memoranda of understanding in consultation with the relevant Cabinet Member, with details being retrospectively reported to Cabinet at the earliest opportunity.

3.9 Incur expenditure for meeting the purposes of Local Government Act 1972 – S. 138 – in connection with peacetime emergencies and disasters, subject to reporting to the first subsequent meeting of Cabinet.

3.10 Exercise the powers contained in Part 1 of the Local Government Act 2000 (promotion of economic, social or environmental well-being etc.).

3.11 Authorise officers to carry out covert surveillance and/or obtain communications data under the Regulation of Investigatory Powers Act 2000 (RIPA), following the Council’s Corporate Policy and Procedure on RIPA, AND after approval has been obtained from a Justice of the Peace before commencement.

3.12 To institute any criminal or civil legal proceedings against persons occupying without licence or consent, land or buildings owned by the Council or in respect of which the Council is entitled to possession, in consultation with one of the Council’s Solicitors, unless West Sussex County Council are exercising this power in accordance with the terms of any delegation arrangements with the Council.


3.14 Where a response from the Disclosure and Barring Service reveals convictions or information relating to a Member, officer or a person that the Council causes to come into contact with children and/or vulnerable adults, to carry out a risk assessment in consultation with the Group Head of Corporate Support.

**Anti-Social Behaviour, Crime and Policing Act 2014**

3.15 In the absence of the Community Wellbeing Group Head, power to act as certifying officer and decision taker under the above legislation, in particular, with respect to Civil Injunctions, written warnings prior to issuing Community Protection Notices, Community Protection Notices, Public Space Protection Orders and Closure Notices for 24 hours including all related court applications and actions associated with a Closure Order. In the absence of both the Group Head of Community Wellbeing and the Director Services this power shall be exercised by the Chief Executive.

3.16 In the absence of the Chief Executive, power to issue Closure Notices under the Anti-Social Behaviour, Crime and Policing Act 2014 for up to a maximum of 48 hours. In the absence of the Chief Executive and the Director Services this
authority is delegated to the Group Head of Community Wellbeing and the Group Head of Technical Services.

**Review of Decision to seek Absolute Possession of a dwelling house where there has been prescribed Anti-social Behaviour**

3.17 To carry out a review of the Council’s decision to seek possession under the mandatory ground where there has been prescribed anti-social behaviour. In the absence of the Director of Services, this authority is delegated to the Group Head of Residential Services.

3.18 In agreement with the Cabinet Member for Residential Services to acquire 250 houses over the 10 year period of the Housing Revenue Account (HRA) Business Plan 2017-2027 based on the three year capital funding programme in consultation with the S151 Officer with updates on spend to be reported to Cabinet via the Budget Variation Report.

3.19 Where appropriate, and in agreement with the Cabinet Member for Residential Services, to approve the use of specialist legal advisors in connection with property acquisitions under the HRA Business Plan 2017-2027 in consultation with the S151 Officer.

3.20 In agreement with the Cabinet Member for Residential Services, to authorise the disposal of all HRA assets (land and property), outside of Right to Buy, in consultation with the Group Head of Technical Services and Group Head of Corporate Support, and that the sale proceeds be reinvested back into the HRA account on all occasions.
4.0 **DIRECTOR OF PLACE**

The Director of Place is responsible for:

- **Economy Group** consisting of:
  - Business Development
  - Economic Partnerships (e.g. the LEP, the Regeneration Board, Devolution etc)
  - Economic Policy & Research
  - Tourism
  - Town centres management

- **Planning Group** consisting of:
  - Development Control
  - Local Plan & Policy
  - Strategic Development
  - Technical Administration Support

- **Technical Services Group** consisting of:
  - Building Control
  - Engineering
  - Environmental Health (incl. Private Sector Housing)
  - Facilities Management
  - Land Charges
    - Assets of Community Value Register
  - Property & Estates
  - Street Name & Numbering

4.1 **Proper Officer Responsibilities**

The Director of Place or his nominated officer has the following Proper Officer responsibilities as laid out in the table below:

<table>
<thead>
<tr>
<th>Local Government Act 1972</th>
<th>Purpose</th>
</tr>
</thead>
<tbody>
<tr>
<td>S. 191</td>
<td>Ordnance Survey applications</td>
</tr>
<tr>
<td>S.s 234(1) and (2)</td>
<td>Authentication of documents</td>
</tr>
<tr>
<td>Schedule14 para 25(7)</td>
<td>Certification of resolutions passed by the Council</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Deposit of lists of buildings of special architectural or historic interest</td>
<td></td>
</tr>
</tbody>
</table>
4.2 **Deputy Returning Officer** – to carry out the duties and responsibilities of Deputy Returning Officer with full powers as defined in relevant legislation.

4.3 Approve virements of expenditure within budget up to the financial limits listed in Part 6 Section 5 of the Constitution.

4.4 Approve supplementary estimates outside of budget up to the financial limits listed in Part 6 Section 5 of the Constitution.

4.5 Approve the drawing down of funds based on the process and financial limits listed in Part 6 Section 5 of the Constitution.

4.6 Approve the award of grants to organisations, including discretionary rate relief, up to the financial limits listed in Part 6 Section 5 of the Constitution.

4.7 Enter into partnerships and related memoranda of understanding in consultation with the relevant Cabinet Member, with details being retrospectively reported to Cabinet at the earliest opportunity.

4.8 Incur expenditure for meeting the purposes of Local Government Act 1972 – S. 138 –in connection with peacetime emergencies and disasters, subject to reporting to the first subsequent meeting of Cabinet.

4.9 Exercise the powers contained in Part 1 of the Local Government Act 2000 (promotion of economic, social or environmental well-being etc.).

4.10 Authorise officers to carry out covert surveillance and/or obtain communications data under the Regulation of Investigatory Powers Act 2000 (RIPA), following the Council’s Corporate Policy and Procedure on RIPA, AND after approval has been obtained from a Justice of the Peace before commencement.

4.11 To institute any criminal or civil legal proceedings against persons occupying without licence or consent, land or buildings owned by the Council or in respect of which the Council is entitled to possession, in consultation with one of the Council’s Solicitors, unless West Sussex County Council are exercising this power in accordance with the terms of any delegation arrangements with the Council.


4.13 Authority to approve the acquisition of commercial properties from the Property Investment Fund up to a maximum value of £750,000 per acquisition in consultation with the Cabinet Member for Technical Services and the Section 151 Officer, subject to a viable business case.

### ASSETS OF COMMUNITY VALUE

4.14 Authority be delegated to the Director of Place and Group Head of Technical Services, or their nominated representative, in conjunction with the Cabinet Member for Technical Services, to validate, consider and determine nominations for property/land to be listed as an asset of community value under the Assets of Community Value Policy.
4.15 Compensation claims made under the Assets of Community Value Policy will be
determined by the Assets of Community Value Compensation Panel led by the
Cabinet Member for Technical Services, as confirmed in Part 3, Section 3 (Cabinet Member Responsibilities).

4.16 When an application for a Review is received, to appoint an officer of the Council to carry out the Review and to make the Review decision. Such officer to be of appropriate seniority and an officer who did not take part in making the original decision. (Localism Act 2011 Section 92 (6) (b))